Effective Synthetic Data and Test-Time Adaptation for OCR Correction

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Abstract

Post-OCR technology is used to correct errors in the text produced by OCR systems. This study introduces a method for constructing post-OCR synthetic data with different noise levels using weak supervision. We define Character Error Rate (CER) thresholds for "effective" and "ineffective" synthetic data, allowing us to create more useful multi-noise level synthetic datasets. Furthermore, we propose Self-Correct-Noise Test-Time Adaptation (SCN-TTA), which combines self-correction and noise generation mechanisms. SCN-TTA allows a model to dynamically adjust to test data without relying on labels, effectively handling proper nouns in long texts and further reducing CER. In our experiments we evaluate a range of models, including multiple PLMs and LLMs. Results indicate that our method yields models that are effective across diverse text types. Notably, the ByT5 model achieves a CER reduction of 68.67% without relying on manually annotated data^{[1](#page-0-0)}.

1 Introduction

The task of preserving historical data has seen a transformative impact due to advancements in Optical character recognition (OCR) [\(Wei et al.,](#page-11-0) [2024\)](#page-11-0). While these systems have facilitated the digitization of historical books, they have also introduced a new set of challenges, particularly when dealing with texts involving complex layouts, unusual typefaces, or degraded quality [\(Jatowt et al.,](#page-9-0) [2019\)](#page-9-0). Post-OCR methods have been proposed to correct these errors [\(Nguyen et al.,](#page-10-0) [2021\)](#page-10-0), improving the usability of digitized texts – an important factor for cultural analytics research and digital humanities in general.

Recent research has framed the post-OCR task as a Seq2Seq Neural Machine Translation (NMT) task [\(Amrhein and Clematide,](#page-8-0) [2018;](#page-8-0) [Mokhtar et al.,](#page-10-1) [2018;](#page-10-1) [Nastase and Hitschler,](#page-10-2) [2018;](#page-10-2) [Hämäläinen](#page-9-1) [and Hengchen,](#page-9-1) [2019\)](#page-9-1). The reliability and generalization performance of NMT models is closely tied to the quality and the volume of the training data [\(Vu et al.,](#page-11-1) [2020\)](#page-11-1). Therefore, synthetic data is commonly used in this area. However, most previous work considers synthetic data with only a single noise ratio for model training [\(Rijhwani](#page-10-3) [et al.,](#page-10-3) [2020;](#page-10-3) [Jasonarson et al.,](#page-9-2) [2023;](#page-9-2) [Xie and Anas](#page-11-2)[tasopoulos,](#page-11-2) [2023\)](#page-11-2), which is not consistent with real digitized collections where quality will often vary. Even in cases where researchers have considered different noise ratios, this has involved conducting separate sub-experiments for each noise level [\(D'hondt et al.,](#page-8-1) [2017\)](#page-8-1). To the best our knowledge, there has been no attempt to merge synthetic data with multiple different noise levels for model training, nor has anyone explored which noise levels to merge to construct the most useful synthetic data.

In addition to the original errors that occur during digitization, subsequent post-OCR procedures can introduce further inaccuracies. This issue becomes particularly severe with proper nouns (PNs), like personal and place names, which are more susceptible to these post-OCR errors and are challenging to correct. This often arises either because the PNs in the test data were not present in the training data, or because they are hard to distinguish. For instance, in OCR text, it is unclear whether "MarL" should be corrected to "Mark" or "Marl". Errors like these can negatively impact downstream tasks, such as retrieval and recommendation systems [\(Bazzo et al.,](#page-8-2) [2020;](#page-8-2) [Van Strien et al.,](#page-11-3) [2020\)](#page-11-3).

In response to the challenges above, in Section [3.1](#page-1-0) we propose a process that employs a weakly-supervised learning approach to generate synthetic data with varying noise levels. Furthermore, we explore how to merge synthetic data with different noise levels to create the most useful dataset for model training. In Section [3.2](#page-2-0) we

¹The code is available at [https://github.com/](https://github.com/NikoGuan/P_OCR) [NikoGuan/P_OCR](https://github.com/NikoGuan/P_OCR).

evaluate a range of different models using this synthetic data, achieving competitive results for post-OCR correction on multiple benchmarks. In Section [4.1](#page-6-0) we also propose Self-Correct-Noise Test-Time Adaptation (SCN-TTA) to enable the model to better handle PNs, further improving the quality of the output text. The experiments in Section [4](#page-6-1) demonstrate that this method is effective across diverse text types and can reduce the CER by up to 68.67%.

2 Related Work

Post-OCR has evolved over many years, with several methods leveraging multiple OCR engines and combining their outputs to yield improved results [\(Lin,](#page-9-3) [2001;](#page-9-3) [Lund and Ringger,](#page-9-4) [2011\)](#page-9-4). Recent advances involve using pre-trained models and seq2seq architectures for error detection and correction [\(Nguyen et al.,](#page-10-4) [2020\)](#page-10-4). [Amrhein and Clematide](#page-8-0) [\(2018\)](#page-8-0) employed both NMT and Statistical Machine Translation (SMT) models for post-OCR tasks. Following this, [Schaefer and Neudecker](#page-10-5) [\(2020\)](#page-10-5) introduced a two-step method that involves detection followed by correction. An unsupervised approach combining multiple OCR views is proposed by [Gupta et al.](#page-9-5) [\(2021\)](#page-9-5). [Ramirez-Orta et al.](#page-10-6) [\(2022\)](#page-10-6) split texts into character ngrams and combine their individual corrections into the final output. Other methods have involved using LSTMs for post-processing [\(D'hondt et al.,](#page-8-1) [2017\)](#page-8-1), establishing benchmarks using pre-trained models on niche datasets [\(Maheshwari et al.,](#page-10-7) [2022\)](#page-10-7) and using an adapted hill-climbing algorithm [\(Nguyen](#page-10-8) [et al.,](#page-10-8) [2023\)](#page-10-8). A number of recent studies have used encoder-decoder pre-trained language models [\(Soper et al.,](#page-10-9) [2021;](#page-10-9) [Maheshwari et al.,](#page-10-7) [2022;](#page-10-7) [Wolters and Van Cranenburgh,](#page-11-4) [2024;](#page-11-4) [Löfgren and](#page-9-6) [Dannélls,](#page-9-6) [2024\)](#page-9-6) and large language models [\(Boros](#page-8-3) [et al.,](#page-8-3) [2024;](#page-8-3) [Thomas et al.,](#page-10-10) [2024\)](#page-10-10).

Synthetic data can enhance model performance across various deep learning domains [\(Nikolenko,](#page-10-11) [2021\)](#page-10-11). In post-OCR and Grammatical Error Correction (GEC) tasks, synthetic data is mainly generated using noise injection [\(Izumi et al.,](#page-9-7) [2003\)](#page-9-7). Work by [Grundkiewicz et al.](#page-9-8) [\(2019\)](#page-9-8) developed confusion sets for each word in a corpus vocabulary, replacing words in correct sentences at a fixed ratio to generate synthetic data. [Ingólfsdóttir et al.](#page-9-9) [\(2023\)](#page-9-9) claimed that highly-noisy text provided optimal training examples for error correction. Both [Krishna et al.](#page-9-10) [\(2018\)](#page-9-10) and [Davydkin et al.](#page-8-4) [\(2023\)](#page-8-4) attempted to generate images of text and then create synthetic data with a single error level. [Jasonar](#page-9-2)[son et al.](#page-9-2) [\(2023\)](#page-9-2), [Rijhwani et al.](#page-10-3) [\(2020\)](#page-10-3) and [Xie](#page-11-2) [and Anastasopoulos](#page-11-2) [\(2023\)](#page-11-2) injected single level OCR errors into clean text according to their occurrence frequency in the corpus. [D'hondt et al.](#page-8-1) [\(2017\)](#page-8-1) generated datasets with different noise levels and trained models using a single noise ratio corresponding to the noise level found in the test set. Moreover, [Guan and Greene](#page-9-11) [\(2024\)](#page-9-11) mixed data with different error ratios in the training data and proposed a novel approach for constructing synthetic data based on glyph similarity measures. Weak supervision involves training models with noisy, partial, or imprecise labels. Examples include Whisper's robust speech recognition using weakly supervised Transformer models [\(Radford](#page-10-12) [et al.,](#page-10-12) [2023\)](#page-10-12), and MULTIR's relation extraction from noisy data [\(Hoffmann et al.,](#page-9-12) [2011\)](#page-9-12). [Wang](#page-11-5) [et al.](#page-11-5) [\(2019\)](#page-11-5) demonstrated the effectiveness of weak supervision for text classification.

Test-time adaptation refers to methods that allow a model to dynamically adapt to test data during inference, without the need for labeled data [\(Liang](#page-9-13) [et al.,](#page-9-13) [2023\)](#page-9-13). TTA has been applied in various tasks such as question answering [\(Banerjee et al.,](#page-8-5) [2021;](#page-8-5) [Ye et al.,](#page-11-6) [2022,](#page-11-6) [2023;](#page-11-7) [Su et al.,](#page-10-13) [2023\)](#page-10-13) and image segmentation [\(Hu et al.,](#page-9-14) [2021\)](#page-9-14). This stategy is especially useful in scenarios where the test data distribution may differ from the training data.

3 Effective Synthetic Data

We now introduce a new approach for generating effective synthetic data for the post-OCR task.

3.1 Data Generation with Error Levels

To address the issue of limited training data, we extract the frequency of OCR errors from existing data. We then insert these errors into clean text from our domain of interest at different ratios to create data with varying error levels. Firstly, we require two input document sets: the source data and the target data. The source data, which consists of pairs of noisy OCR text and corrected ground truth (GT), serves as the source of OCR errors. These errors are used to construct rules for our proposed Data Generator (DG). The target data is a collection of clean texts free from OCR errors. The DG will be used to insert OCR errors into this target data, thereby generating the synthetic data.

In this paper, we chose the English datasets

from ICDAR2017/2019 [\(Rigaud et al.,](#page-10-14) [2019\)](#page-10-14) as our source data, totaling 6.2 million characters. These datasets include OCR texts aligned with GT texts at the character level, permitting the examination of original characters in erroneous OCR outputs. For instance, a sample OCR input "INEVEI3" will be aligned as "I@NEVEI3" with a corresponding GT "I NEVE@R". The padding symbol "@" in the source data allows us to identify which characters have been deleted and which have been recognized as multiple characters (strings).

We have observed that the annotations in the ICDAR datasets are not entirely reliable. Specifically, some texts appear to be improperly aligned, as the alignment was done using an automated process. We could view such annotations as a form of "imperfect" or "imprecise" labels. Therefore, we propose adopting a weakly supervised learning approach [\(Zhou,](#page-11-8) [2018\)](#page-11-8). By carefully extracting OCR errors from these noisy, misaligned datasets, we can make use of the inherent errors and noise in the data to augment a post OCR-correction model's ability to generalize to various OCR errors, because this can increase the diversity of OCR error within the synthetic data. We also compare the results of not using weak supervision (i.e., filtering out "imprecise" data from the source data) later in Experiments $2 \& 4$. We now describe the two phases of the DG process.

Phase 1 – DG construction. Firstly, we use the labeled pairs of examples in the source data to compute the likelihoods of individual characters being replaced by other characters or strings during OCR processing. This includes the likelihoods of all characters, including spaces and punctuation, being replaced. Here, each value $P(j|i)$ represents the probability that character i is replaced by string j based on the source data. Note that i and j can be the same, corresponding to the case where, after OCR processing, a character is mapped to itself (i.e., it is correctly recognized). These values represent the replacement "rules" that will be used to add OCR-like errors to the target data. We apply these rules and then remove any padding symbols to build synthetic text.

During the proposed process, common OCR errors are simulated as follows: *recognition errors*, where characters are replaced by others; *insertion errors*, where characters are replaced with lengthy strings; *deletion errors*, where characters are replaced by the padding symbol "@", which will

be removed later; and *segmentation errors*, where spaces, considered as characters, are replaced by "@", leading to word segmentation issues when removed.

Phase 2 – DG application. Next, we apply DG to the target data to introduce OCR errors. Here the target data serves as the ground truth during training. In the target data, 0.03% of random words can be replaced with the "<unk>" token, the "<unk>" token will not be affected by DG, this is preparation for SCN-TTA, it does not affect the performance of general post-OCR tasks.

To generate texts with different noise levels, we introduce the concept of an Error Level (EL), denoted e. This involves using the probabilities $P(j|i)$ calculated in the previous step to calculated the weight $W(j|i)$ for character *i* being replaced by string j, $W(j|i)$ as a weight, controls the probability of various character replacements occurring when generating synthetic data, such that

$$
W(j|i)(e) = \begin{cases} \frac{P(j|i)}{P(i|i) + e \cdot \sum_{k \in S_i, k \neq i} P(k|i)}, & \text{if } i = j\\ \frac{e \cdot P(j|i)}{P(i|i) + e \cdot \sum_{k \in S_i, k \neq i} P(k|i)}, & \text{if } i \neq j \end{cases}
$$

where S_i denotes a set containing possible strings that might replace character i . By increasing e , the weight $W(i|i)$ of a character being replaced by itself will decrease, whereas the weights for it being replaced by other strings will increase, making errors more likely.

3.2 Effective Data Threshold and Range

When generating synthetic data, a key question relates to the extent of errors to be introduced into clean text. The most common approach is to insert errors at the same rate observed in the source data [\(Jasonarson et al.,](#page-9-2) [2023;](#page-9-2) [Rijhwani et al.,](#page-10-3) [2020\)](#page-10-3). However, this method has its limitations. Typically, models trained on low-CER data perform better on low-CER text, while models trained on high-CER data perform better on high-CER text.

This raises the related question of whether merging data with varying CER levels can produce a model that performs well on test collections where documents have different CER levels. Specifically, if data with multiple CER levels are merged, is there a range of CER values in the training data that results in the best overall performance across different CER levels in test data? Additionally, does excessive insertion of OCR errors compromise the integrity of the original sentence structure and meaning, causing the model to "overcorrect"?

To answer these questions, we undertake three experiments. Experiment 1 examines how the CER of training and test data affects model performance on synthetic data. Experiment 2 validates the conclusions of Experiment 1 using real-world data. Finally, Experiment 3 extends testing to include a broader range of models and includes benchmarks with different types of text.

3.2.1 Exp. 1: Exploration on Synthetic Data

Synthetic data allows more precise control over CER levels, when compared to real-world data where the error distribution is uneven. In order to investigate the relationship between the data CER and model performance, we used synthetic data for both training and testing in this experiment. We fine-tuned three transformer-based models which have previously been shown to perform well in post-OCR tasks [\(Maheshwari et al.,](#page-10-7) [2022\)](#page-10-7): mBART $_{large}$ [\(Tang et al.,](#page-10-15) [2020\)](#page-10-15), Flan-T 5_{base} [\(Chung et al.,](#page-8-6) [2022\)](#page-11-9), ByT 5_{base} [\(Xue et al.,](#page-11-9) 2022).

Setup. The target dataset consists of a curated set of 50 19th-century British and Irish novels. These are proofread full-texts sourced from Project Gutenberg [\(Stroube,](#page-10-16) [2003\)](#page-10-16). This corresponds to a total of 31,257,853 characters and 5,714,139 words. The texts in the target data are segmented into chunks, which are then randomly assigned in a $70:15:15$ ratio to form the training, validation, and test sets.

For our source data, we use the English collections from ICDAR2017/2019 [\(Rigaud et al.,](#page-10-14) [2019\)](#page-10-14). While the ICDAR data is not entirely reliable, it still represents a useful source of weak supervision when extracting error generation rules, as discussed in Section [3.1.](#page-1-0) The data is divided into two equally sized sets. The first set provides error information for the synthetic training-validation dataset, while the second set is used for the synthetic test dataset. This separation ensures distinct error distributions between the training-validation and test datasets.

We generated datasets with varying error levels, denoting the error level for the training set as TrEL (Training Error Level) and for the test set as TeEL (Test Error Level). We used 13 values for TrEL $\in [0.3, 21.0]$ and 8 values for TeEL $\in [0.3, 13.0]$. The detailed values and their corresponding CER and WER are provided in Appendix [A.](#page-11-10) We also merged the training sets from all different ELs, referring to this combined training set as *merge*. We use TrCER and TrWER to represent the CER and WER of the training set, respectively, and TeCER and TeWER to denote the CER and WER of the

Model	CER(CERR) TeCER	192	3.68	7.90	11.47	14.57	17.48	20.00	22.36
	TrCER								
	1.03	1.28(33.3)	1.82(50.6)	4.33(45.1)	6.69(41.7)	9.40(35.8)	12.20(30.2)	14.56(27.2)	16.95(24.2)
	2.37	0.87(54.6)	1.44(60.7)	3.07(61.1)	5.05(56.0)	6.91(52.9)	9.12(47.8)	11.14(44.3)	13.46(39.8)
	5.44	0.89(53.8)	1.32(64.1)	2.60(67.1)	3.96(65.5)	5.46(62.8)	7.11(59.3)	8.69(56.5)	10.52(53.0)
	8.02	0.94(51.2)	1.35(63.2)	2.48(68.6)	3.66(68.1)	5.01(65.8)	6.50(62.8)	7.98(60.1)	9.53(57.4)
	10.33	0.98(49.0)	1.34(63.6)	2,40(69.6)	3.71(67.7)	4.80(67.3)	6.16(64.8)	7.54(62.3)	9.05(59.5)
ByT5	12.45	1.19(38.3)	1.52(58.8)	2.57(67.5)	3.64(68.3)	4.88(66.7)	6.22(64.4)	7.50(62.5)	8.90(60.2)
	14.39	1.07(44.3)	1.45(60.5)	2.43(69.3)	3.49(69.6)	4.67(68.2)	5.94(66.0)	7.15(64.2)	8.52(61.9)
	16.21	1.11(42.2)	1.46(60.3)	2.42(69.3)	3,46(69.8)	4.58(58.7)	5.81(66.8)	7.04(64.8)	8.34(62.7)
	17.91	1.15(40.3)	1.52(58.6)	2.50(68.3)	3.56(69.0)	4.68(68.0)	5.87(66.4)	7.07(64.7)	8.50(62.0)
	19.52	1.19(38.0)	1.54(58.1)	2.52(68.0)	3.51(69.4)	4.64(68.4)	5.75(67.1)	6,96(65.2)	8.16(63.5)
	21.02	1.24(35.3)	1.60(56.4)	2.59(67.2)	3.57(68.8)	4.66(68.0)	5.80(66.8)	7.04(64.8)	8.27(63.0)
	22.49	1.28(33.3)	1.66(54.9)	2.63(66.7)	3.67(68.0)	4.76(67.5)	5.87(66.4)	7.00(65.0)	8.32(62.8)
	merge	0.80(58.3)	1.23(66.6)	2.34(70.4)	3.35(70.8)	4.32(70.4)	5.52(68.4)	6.62(66.9)	8.08(63.9)
	1.03	0.80(58.1)	1.81(50.8)	5.82(26.3)	10.69(6.8)	13.53(7.7)	$18.20(-4.1)$	$22.52(-12.6)$	$25.27(-13.0)$
	2.37	0.77(59.7)	1.57(57.2)	3.71(53.0)	6.69(41.7)	10.31(29.7)	13.16(24.7)	16.24(18.8)	19.60(12.4)
	5.44	0.68(64.6)	1.23(66.6)	2.73(65.4)	4.63(59.7)	6.76(53.9)	9.06(48.2)	11.56(42.2)	14.33(35.9)
	8.02	0.70(63.6)	1.16(68.4)	2.57(67.5)	4.11(64.1)	5.82(60.3)	7.78(55.5)	9.73(51.4)	12.06(46.1)
	10.33	1.02(46.8)	1.34(63.6)	2.59(67.1)	4.38(61.8)	5.74(60.9)	7.73(55.8)	9.20(54.0)	11.33(49.4)
Flan-T5	12.45	0.79(58.9)	1.23(66.6)	2.46(68.9)	3.80(66.9)	5.25(64.2)	6.81(61.0)	8.37(58.2)	9.96(55.5)
	14.39	0.91(52.6)	1.30(64.5)	2.53(67.9)	4.07(64.6)	5.14(64.9)	6.52(62.7)	8.06(59.7)	9.78(56.3)
	16.21	0.95(50.3)	1.32(64.0)	2.50(68.3)	3.76(67.3)	5.00(65.9)	6.44(63.2)	7.90(60.5)	9.38(58.1)
	17.91	0.96(49.8)	1.41(61.8)	2.56(67.6)	3.75(67.3)	5.09(65.2)	6.35(63.7)	7.98(60.1)	9.35(58.2)
	19.52	1.08(43.9)	1.48(59.9)	2.61(66.9)	3.73(67.5)	5.20(64.5)	6.81(61.1)	7.74(61.3)	9.08(59.4)
	21.02	1.22(36.3)	1.61(56.2)	2.69(65.9)	3.85(66.4)	5.16(64.6)	6.50(62.8)	7.70(61.5)	9.12(59.2)
	22.49	1.28(33.3)	1.69(54.0)	2.73(65.4)	3.97(65.4)	5.15(64.8)	6.67(61.8)	7.77(61.2)	9.18(59.0)
	merge	0.61(68.2)	1.02(72.3)	2.38(69.9)	3.62(68.4)	4.91(66.3)	6.12(65.0)	7.23(63.9)	8.82(60.6)
	1.03	$2.69(-40.0)$	$4.04(-9.7)$	$8.03(-1.7)$	$12.37(-7.8)$	$16.46(-12.3)$	$21.21(-21.3)$	25.00(-25.0)	$28.52(-27.5)$
	2.37	$2.66(-38.5)$	$4.77(-29.6)$	6.71(15.0)	10.06(12.3)	13.95(4.8)	$17.96(-2.8)$	$23.63(-18.1)$	$26.28(-17.5)$
	5.44	$2.33(-21.2)$	3.06(16.8)	5.19(34.3)	7.57(34.0)	9.91(32.4)	12.57(28.1)	15.99(20.0)	19.54(12.7)
	8.02	$2.44(-27.0)$	3.10(15.8)	4.88(38.2)	6.70(41.6)	8.56(41.6)	11.38(34.9)	12.81(36.0)	15.58(30.4)
	10.33	$2.75(-43.0)$	3.36(8.5)	4.93(37.5)	6.70(41.6)	8.38(42.8)	10.40(40.5)	12.09(39.5)	14.64(34.5)
mBART	12.45	$2.74(-42.9)$	3.08(16.3)	4.55(42.4)	6.21(45.9)	7.99(45.5)	10.13(42.0)	11.47(42.7)	13.38(40.2)
	14.39	$2.92(-52.1)$	3.39(7.7)	4.87(38.3)	6.50(43.3)	8.02(45.2)	9.59(45.1)	11.97(40.1)	13.04(41.7)
	16.21	$3.15(-64.0)$	3.57(3.0)	4.98(37.0)	6.29(45.2)	7.82(46.6)	9.34(46.6)	11.04(44.8)	12.76(42.9)
	17.91	$3.23(-68.2)$	$4.33(-17.8)$	5.46(30.9)	6.67(41.9)	7.96(45.7)	9.87(43.5)	11.03(44.9)	12.68(43.3)
	19.52	$2.87(-49.2)$	$3.72(-1.3)$	4.72(40.2)	6.48(43.5)	7.79(46.8)	9.14(47.7)	10.67(46.6)	12.19(45.5)
	21.02	$3.07(-60.0)$	$3.80(-3.2)$	5.21(34.0)	6.85(40.3)	7.82(46.6)	9.39(46.3)	11.03(44.9)	12.17(45.7)
	22.49	3.44(-79.0)	$3.91(-6.2)$	5.14(35.0)	6.63(42.2)	7.82(46.6)	9.73(44.3)	10.85(45.8)	12.18(45.6)
	merge	$2.01(-4.68)$	3.00(18.5)	4.24(46.3)	5.98(47.9)	7.60(47.8)	8.73(50.1)	10.00(50.0)	11.87(46.9)

Table 1: Scores for CER and CERR across all models for different error levels in the training (rows) and test (columns) sets. The best results for each model are underlined, while the best overall scores for a given test set error level (column) are highlighted in bold. *merge* does not participate in comparisons with a single EL.

test set. We fine-tuned three pre-trained models across 14 (13+merge) datasets and tested them on 8 TeEL datasets. Detailed training parameters are provided in Appendix [A.](#page-11-10)

To measure performance, we consider CER, WER, Character Error Rate Reduction (CERR), and Word Error Rate Reduction (WERR). The latter two measures are defined as:

$$
CERR = 1 - \frac{CER_{\text{post}}}{CER_{\text{init}}} \quad WERR = 1 - \frac{WER_{\text{post}}}{WER_{\text{init}}}
$$

Here CER_{init} and WER_{init} refer to the original CER and WER values, respectively. CER_{post} and WER_{post} are the CER and WER after processing, respectively.

Discussion. Table [1](#page-3-0) reports the CER performance of all models, each trained on different TrEL datasets and evaluated on multiple TeEL datasets. Further WER results are provided in Table [7](#page-12-0) in Appendix [A.](#page-11-10) We see that the ByT5 model aligns closely with the T5 model in terms of CERR and WERR, significantly outperforming the mBART model. ByT5 achieves the best CERR on most datasets, consistently exceeding 65%. Meanwhile, the Flan-T5 model often outperforms ByT5 in terms of WERR, averaging around 75%. Models trained on the *merge* dataset produce stronger performance across all TeEL datasets.

From Table [1,](#page-3-0) we observe that, when not considering the *merge* set, models trained on single EL datasets with $CER > 21.02$ rarely achieve strong performance on test sets. This demonstrates that

Figure 1: 24 data points from 3 models marked with distinct colors, with the Optimal Alignment Curve (OAC) represented by a black dashed line.

synthetic data with excessive OCR errors results in a decline in model performance.

Based on the observations above, we propose an *Optimal Alignment Curve* (OAC), with CER as the primary metric. This curve helps to identify the optimal training data for test data with diverse CER levels when considering single error level datasets. Specifically, the OAC indicates which training dataset with a specific CER (e.g., a dataset with a CER of 5%) is most effective for testing datasets that have different CER levels. However, the OAC is not intended to select the training data CER based on the test data CER. Rather, its primary purpose is to estimate the CER threshold for "effective and "ineffective" training data, determining the CER range of the synthetic data in the merged dataset for subsequent use.

To calculate the OAC, we analyzed the performance of three models (mBART, Flan-T5, and ByT5) across various TrEL and TeEL levels. For each TeEL, we identified the best-performing TrEL for each model. This resulted in 24 data points (i.e., 8 TeEL levels \times 3 models). We then plotted these data points and performed a quadratic polynomial regression to fit the OAC. The peak of this curve represents the threshold between "effective" and "ineffective" training data. The resulting quadratic polynomial regression is given by

$$
f(x) = \begin{cases} 1.01 + 1.68x - 0.04x^2 & \text{if } 0 < x \le 22.71\\ 20.1 & \text{if } x > 22.71 \end{cases}
$$

where x represents the CER of the test set, and $f(x)$ denotes the empirically-observed optimal CER of the training set. The 24 data points, alongside the corresponding OAC, are illustrated in Figure [1.](#page-4-0) This indicates that the empirically observed CER

threshold delineating between "effective" and "ineffective" is approximately 20.1.

3.2.2 Exp. 2: Validation on Real Data

We now evaluate the performance of models trained on synthetic datasets when tested on real-world datasets. Additionally, we aim to verify whether the definitions of "effective" and "ineffective" synthetic data from Exp. 1 are applicable in real-world scenarios. This evaluation is conducted on the RE-TAS benchmarks [\(Yalniz and Manmatha,](#page-11-11) [2011\)](#page-11-11), which consist of 100 classic novels from the 18th and 19th centuries. These were processed using the Abbyy FineReader OCR engine, resulting in a CER of 6.64% and a WER of 20.83%. The novels share the same domain as the 50 novels used for training, but there is no overlap.

Setup. We select three models for comparison: ByT5-base [\(Xue et al.,](#page-11-9) [2022\)](#page-11-9), which performed well in our previous experiments; Transformerbig [\(Vaswani et al.,](#page-11-12) [2017\)](#page-11-12); an ngram-based model [\(Ramirez-Orta et al.,](#page-10-6) [2022\)](#page-10-6) from the literature, which achieved SOTA performance on multiple language datasets of the ICDAR dataset. Additionally, we test three methods for generating synthetic data: random generation (*Random*), non-weak supervision (*Non-WeakSup*), and weak supervision (*WeakSup*). *Random* refers to adding OCR errors through random substitutions, insertions, and deletions. The *Non-WeakSup*, common in post-OCR and Grammatical Error Correction (GEC) tasks [\(Ja](#page-9-2)[sonarson et al.,](#page-9-2) [2023;](#page-9-2) [Ingólfsdóttir et al.,](#page-9-9) [2023\)](#page-9-9), involves extracting error distributions from correctlyannotated datasets and then inserting these errors into clean text to generate synthetic data. In our case, we filtered out sentence pairs from the IC-DAR dataset with CER > 50% to obtain correctly annotated data. *WeakSup* follows a similar process, but does not filter out high-CER sentences.

We create datasets with different degrees of errors, represented by $[n, m]$, indicating that each dataset merged seven synthetic datasets with CERs ranging from *n* to *m*. *Random* used [1, 20.1], while *WeakSup* and *Non-WeakSup* used [1, 10], [1, 20.1], and $[1, 30]$. Specifically, $[1, 10]$ represents all "effective" data but not the full range; [1, 20.1] exactly covers the effective range; $[1, 30]$ covers the effective range and includes "ineffective" data.

Discussion. From the results in Table [2,](#page-5-0) we see that both ByT5 and Transformer models outperform the ngram-based model. Models trained with the dataset [1, 20.1] demonstrate better performance

Data	Random		Non-WeakSup		WeakSup		
Model	[1,20.1] [1,10]		$[1,20.1]$ $[1,30]$ $[1,10]$			[1,20.1]	[1,30]
$ByT5_{base}$	3.47	2.55	2.51	2.75	2.52	2.46	2.81
Transformer $_{bia}$	4.06	3.18	3.11	3.25	3.13	3.09	3.50
Ramirez-Orta et al. (2022)	4.43	5.08	4.10	4.43	5.00	4.12	4.44

Table 2: CER for the RETAS datasets after correction using different models, trained on different synthetic data. Original is 6.64%.

than those trained with $[1, 10]$ and $[1, 30]$, suggesting the applicability of "effective" and "ineffective" synthetic data thresholds derived from the OAC in real-world scenarios. Models trained on $[1, 10]$ perform well on texts with a CER <10, but cannot yield high performance with higher CER values. Although this range uses "effective" data, it does not cover the full "effective range", since the RETAS dataset also contains higher CER sentences. Conversely, training with [1, 30], which includes "ineffective" data above CER 20, may cause overcorrection and a decline in performance. So [1, 20.1] which exactly covers the "effective range", results in the best performance.

We also observe the slight superiority of *Weak-Sup* over *Non-WeakSup*. This can be attributed to the fact that, while the *Non-WeakSup* method accurately extracts the error distribution of a specific OCR engine, the OCR texts requiring correction might originate from a different system. In contrast, the *WeakSup* method enhances the model's robustness by enabling it to learn from a broader array of error types, even those from incorrect annotations, thereby improving its capability to correct texts from different OCR systems.

3.2.3 Exp. 3: Tests on Further Data

We now extend our experiments to evaluate the effectiveness of synthetic data across source different datasets and models.

Setup. We generate $[1, 20.1]$ training data by inserting OCR errors into the GT of the training data from these benchmarks using the *WeakSup* method, without using their actual OCR text for training. The benchmarks include Overproof-2, Overproof-3 [\(Evershed and Fitch,](#page-8-7) [2014\)](#page-8-7), TCP [\(Dong and Smith,](#page-8-8) [2018\)](#page-8-8) and BLN600 [\(Booth et al.,](#page-8-9) [2024\)](#page-8-9). Since the original authors did not split the Overproof-2 and Overproof-3 datasets, we conduct a 5-fold crossvalidation on these datasets. Details for the datasets are given in Table [3.](#page-5-1)

Baselines for comparison include the CER and WER values from the original benchmark papers, the Hunspell spellchecker [\(Ooms,](#page-10-17) [2018\)](#page-10-17), and

Dataset	Type	Size	Year	CER	WER
Overproof-2 Newspaper		49,000 words	1842-1954	8.54%	25.71%
Overproof-3 Newspaper		18,000 words	1871-1921	10.91%	27.65%
TCP	Book	934 books	1500-1800	10.59%	30.55%
BLN600	Newspaper	294.239 words	1800-1900	8.40%	37.27%

Table 3: Summary of Datasets used in Exp. 3.

one-shot results from $Llama2_{13B}$ [\(Touvron et al.,](#page-10-18) [2023\)](#page-10-18) and GPT-4o. We trained or fine-tuned the following models: those proposed by [Ramirez-](#page-10-6)[Orta et al.](#page-10-6) [\(2022\)](#page-10-6) and [Schaefer and Neudecker](#page-10-5) [\(2020\)](#page-10-5), mBART $_{large}$, ByT 5_{base} , Flan-T 5_{base} and Llama 2_{13B} . For Llama 2_{13B} , we used LoRA [\(Hu](#page-9-15) [et al.,](#page-9-15) [2022\)](#page-9-15) for instruction-tuning. The training parameters for each model, as well as the prompts and data formats for LLM are provided in Appendix [B.](#page-11-13)

Discussion. From the results in Table [4,](#page-5-2) we see that the PLMs ByT5 and Flan-T5 significantly enhance OCR outputs across most datasets, even without using real OCR text in training, reducing CER by over 60% when data is sufficient. This demonstrates that in post-OCR tasks, robust models can be trained with "effective" synthetic data, without the need for a manually annotated training set. Our tests also show that LLMs achieve $\approx 40\%$ reduction in CER with one-shot performance, and up to 50% after LoRA fine-tuning. Furthermore, the CER and WER values achieved by LMs fine-tuned with purely synthetic data generally surpass those reported in the original benchmark papers. The methods of the [Schaefer and Neudecker](#page-10-5) [\(2020\)](#page-10-5) and [Ramirez-Orta et al.](#page-10-6) [\(2022\)](#page-10-6) models lag behind those of the PLMs and LLMs. We consider the ByT5 model to be the most suitable for post-OCR tasks and focus on this in our next experiment.

Model	Overproof-2		Overproof-3		TCP		BLN600	
	CER	WER	CER	WER	CER	WER	CER	WER
None	8.54	25.71	10.91	27.65	10.59	30.55	8.40	37.27
Origin	7.10	16.67	5.63	12.62	4.07	9.79	3.82	***
Hunspell	6.70	15.89	6.37	15.01	7.28	15.26	6.65	21.45
GPT-4o (one-shot)	5.75	13.27	5.87	13.43	6.04	12.21	4.85	16.67
Llama ₂ (one-shot)	5.98	14.23	6.02	14.02	6.23	12.78	5.34	19.21
Schaefer and Neudecker, 2020	7.29	17.48	9.23	22.27	8.33	23.45	6.34	20.13
Ramirez-Orta et al., 2022	7.66	17.24	8.87	20.34	7.01	19.25	6.11	19.95
mBART	6.27	15.32	7.47	17.87	5.55	13.2	5.29	16.43
ByT5	4.98	12.61	5.50	14.01	3.57	9.04	3.36	10.24
Flan-T5	5.16	12.55	5.61	13.73	3.75	9.80	3.48	10.56
Llama2 (instruction-tuning)	5.36	14.87	5.44	12.77	5.24	13.23	3.96	15.37

Table 4: Performance comparison of models in terms of CER and WER across four datasets. Highlighted values indicate the best performance per metric for each dataset. "None" indicates the baseline without correction. "Origin" refers to values from the original benchmark papers. "***" denotes values not disclosed.

Figure 2: The 7-step process encompasses extracting PNs and its context, masking PNs and repairing contexts, and using this refined data for a second round of fine-tuning. PNs and their contexts are extracted (Step 1), masked (Step 2), and partially repaired using a previously fine-tuned model (Step 3-4). This repaired text is then used to generate synthetic data (Step 5), which informs a second round of fine-tuning (Step 6) before final full-text correction (Step 7). We use color to indicate the condition of the text: red for noisy and blue for clean.

4 Self-Correct-Noise Test-Time Adaptation

In tasks such as GEC and translation, models often struggle with rare words, like proper nouns, that appear in the training data, which impacts their performance on these words [\(Sutskever et al.,](#page-10-19) [2014;](#page-10-19) [Bahdanau et al.,](#page-8-10) [2016\)](#page-8-10). Common solutions include data augmentation, generating new synthetic sentences with rare words [\(Fadaee et al.,](#page-9-16) [2017;](#page-9-16) [Ten](#page-10-20)[nage et al.,](#page-10-20) [2018\)](#page-10-20), or aligning rare words to their sources and then translating them with a word-level model [\(Yuan and Briscoe,](#page-11-14) [2016\)](#page-11-14). Although these methods can help the model to learn rare words in the training data, they often fail to adapt to the distribution of the test data. In typical translation tasks, each data point contains limited information, whereas in post-OCR tasks, the data often consists of longer texts, like novels. This potentially allows us to use text from the test data to improve model performance.

4.1 Method

We propose Self-Correct-Noise Test-Time Adaptation (SCN-TTA) to better handle PNs in the test data or real-world applications. A key requirement here is to correct OCR errors in PNs, while preserving correctly spelled ones. Our method is primarily designed for longer texts, such as novels which frequently appear in historical collections [\(Leavy](#page-9-17) [et al.,](#page-9-17) [2019\)](#page-9-17).

First, we need a pre-trained Seq2Seq model, such as ByT5 [\(Xue et al.,](#page-11-9) [2022\)](#page-11-9), and conduct an initial round of fine-tuning using synthetic data from the same domain as the text to be corrected, converting it into a post-OCR model.

Before we correct full texts, the post-OCR model

undergoes SCN-TTA, focusing on the text's content, especially the PNs. This involves a second round of fine-tuning where the model self-corrects sentences from text while initially ignoring PNs. The data generator then uses these self-corrected sentences to create new synthetic data for further fine-tuning. We now explain each step of the full workflow as shown in Figure [2.](#page-6-2)

Step 1: For further fine-tuning, we require clean PNs and their contexts which may contain OCR errors. We introduce the Word-Based Text Extractor component at this stage. Initially, this component uses the BookNLP suite [\(Bamman et al.,](#page-8-11) [2014\)](#page-8-11) to extract all words marked as PROP (proper noun) from the full text of a given text B [\(Hamdi](#page-9-18) [et al.,](#page-9-18) [2020\)](#page-9-18). Meanwhile, the Text Extractor identifies words that did not appear in the first round of fine-tuning, but do appear in the text B . We then remove words from these two groups with frequency \lt (text length of B)/200000. This frequency threshold, determined based on our experimental observations, effectively filters out words containing OCR errors, leaving behind the PNs of B. Finally, we extract 80 words of context for each PN as text chunks. Since our model's maximum sequence length is 512, 80 words will not exceed this upper limit.

Step 2: Text Extractor outputs multiple text chunks, each containing one or more clean PNs and the surrounding text (with OCR errors). The Word Masker converts these PNs into "<unk>".

Step 3: We use the model, fine-tuned in the first round, to correct the chunks from Step 2. The main goal is to correct the text around the PNs, as the model learns to retain the "<unk>" tokens during the initial fine-tuning (Section [3.1,](#page-1-0) Phase 2). This represents a self-correction.

Step 4: The Word Restorer component changes the "<unk>" token back to the original PNs. In this way, we obtain clean sentences, which will be used to generate an effective synthetic dataset for the second round of fine-tuning.

Step 5: Applying the DG, we use the clean text from Step 4 to generate synthetic text with varying CERs 7 times within the effective range of [1, 20.1], and then merge them. In this step, PNs are also inserted with various OCR errors, allowing the model to learn to correct PNs containing OCR errors.

Step 6: Based on the synthetic data produced from text B , we perform a second round of fine-tuning on the model that has been fine-tuned in the first round to help the model learn the content of B and perform TTA. The parameters are in Appendix [C.](#page-12-1) Step 7: Finally, we apply the fine-tuned model from Step 6 to perform full-text correction on B.

4.2 Exp. 4: Ablation Study and SCN-TTA

We now conduct an ablation experiment to investigate the effects of multi-noise level training, weak supervision, and the SCN-TTA method from Section [4.1.](#page-6-0) We focus on CER, WER, and handling PNs. We generate synthetic training data in different ways to fine-tune the model, then apply SCN-TTA to improve the identification of PNs.

Setup. Using the 50 clean texts from Exp. 1, we generated three synthetic training datasets: *Single*, *Multi*, and *MultiW*. "Single" indicates datasets generated using only TrEL 5.0, "W" indicates the use of weak supervision, and the absence of "W" indicates that weak supervision was not used (filtering out "imprecise" data from the source data). See Appendix [C](#page-12-1) for details on the datasets. Testing is performed on the RETAS dataset, previously described in Exp. 2. In this experiment, we primarily test the ByT5 model, as it has shown good performance in previous experiments and similar tasks [\(Maheshwari et al.,](#page-10-7) [2022;](#page-10-7) [Jentoft,](#page-9-19) [2023\)](#page-9-19). As baselines we consider Llama2-13B [\(Touvron et al.,](#page-10-18) [2023\)](#page-10-18) and Hunspell [\(Ooms,](#page-10-17) [2018\)](#page-10-17).

We introduce two additional metrics in this experiment – Correct Word Retention Rate (CWRR) and Incorrect Word Correction Rate (IWCR):

$$
CWRR = \frac{CC}{CC + CI} \quad IWCR = \frac{IC}{II + IC}
$$

Here CC and CI denote the number of PNs correctly recognized in the OCR output but correctly

retained and incorrectly altered after OCR correction, respectively. IC and II represent the number of PNs incorrectly recognized in the OCR output but correctly and incorrectly altered post OCR correction, respectively.

Discussion. The results in Table [5](#page-7-0) again validate the conclusion from Exp. 1 and Exp. $2 - using$ weak supervision to generate synthetic data with multiple noise levels enhances model performance.

Training ByT5 with a single noise level can reduce the CER from 6.64 to 2.78, whereas multinoise training further reduce it to 2.51. Applying weak supervision brings the CER down to 2.46. Applying SCN-TTA to weak supervision multi-noise training further improves performance, reducing CER to 2.08, and boosting CWRR to 0.887 and IWCR to 0.734. The SCN-TTA process takes approximately 2-5 minutes per book, significantly increasing the probability of correctly handling PNs. Llama reduces CER and WER less effectively than ByT5, but its CWRR and IWCR are notably high. We suspect this is due to benchmark data contamination [\(Xu et al.,](#page-11-15) [2024\)](#page-11-15), as Llama's pre-training data likely includes content from the RETAS dataset, but in real-world applications, the text requiring correction is often not widely circulated online and unlikely to be in pre-training data. Therefore, Llama's performance in handling PNs might not be as good as observed in this experiment. The performance improvement of the Llama model after instruction-tuning is mainly due to more stable output formatting.

Samples of corrections produced by the ByT5 model, combined with weakly-supervised multinoise training, are provided in Figure [4](#page-13-0) in Appendix C. The results show that this combination yields strong correction capabilities.

Table 5: Comparison of results on the RETAS dataset. *Single* denotes training with one OCR error level, while *Multi* refers to using multiple error levels. "Llama + SCN-TTA" refers to instruction-tuning using data generated by SCN-TTA.

5 Conclusion

In this paper, we explored how to generate the most effective synthetic data for post-OCR correction tasks. Our experiments show that using weak supervision and effective synthetic data with multiple noise levels can reduce the Character Error Rate of OCR texts by 62.95% when used in conjunction with the ByT5 model. Additionally, we have demonstrated that, by incorporating a novel testtime adaptation approach SCN-TTA, we can improve the model's ability to handle to handle proper nouns, leading to a 68.67% reduction in CER.

Limitations

We observe that the efficacy of SCN-TTA is reduced in texts with high OCR errors and shorter texts. In some cases it also struggles to reliably repair short PNs. The NMT model often fails to correct numerical errors in noisy text, a common issue across correction models. It also occasionally mishandles paired punctuation marks, such as quotation marks and parentheses. This paper focuses solely on the post-OCR task for English and does not present results for other languages, which we intend to investigate in future work.

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A Details for Experiment 1

The TrEL and TeEL values, along with their corresponding CER and WER, are shown in Table [6.](#page-12-2) WER results are listed in Table [7.](#page-12-0) When training all models, the batch size parameter was set to 4, the learning rate was 5e-4, and the dropout rate was 0.2. We fine-tuned the models for 8 epochs using fp16 precision. The Adam optimizer was used with default parameters. The experiments were conducted on A100 and 4090 GPUs.

B Details for Experiment 3

For the model from [Ramirez-Orta et al.](#page-10-6) [\(2022\)](#page-10-6), we used a 2-layer structure with an embedding dimension of 256, a feedforward dimension of 1024, a dropout rate of 0.2, a batch size of 200, a learning

(b) Test set

Table 6: Comparison of the text quality in the synthetic training and test sets, for different error levels, as measured using CER and WER. We use TrCER and TrWER to represent the CER and WER of the training set, respectively, and TeCER and TeWER to denote the CER and WER of the test set.

rate of 1e-4, and carried out training over 10 epochs. The model had a window size of 20, used beam search for decoding, and applied uniform weighting. For the [Schaefer and Neudecker](#page-10-5) [\(2020\)](#page-10-5) model, the Detector Model featured a 3-layer structure with a hidden size of 512. It underwent training for 138 epochs, using a batch size of 200, a dropout rate of 0.2, and a learning rate of 1e-4. The Correction Model was trained for 800 epochs, employing the teacher forcing technique at a ratio of 0.5, with a batch size of 200, a dropout rate of 0.2, and a learning rate of 1e-4. For the mBART, ByT5, and Flan-T5 models, the batch size was set to 4, the learning rate was 5e-4, and the dropout rate was 0.2. we fine-tuned the models for 8 epochs using fp16 precision. The Adam optimizer was used with default parameters.

Figure [3](#page-12-3) illustrates the design of the prompt

Model	WER(WERR) TeWER	10.87	18.79	35.19	46.91	55.91	62.92	68.53	73.31
	TrWER								
	6.87	5.09(53.2)	8.08(57.0)	16.24(53.9)	23.90(49.1)	31.73(43.3)	38.92(38.2)	45.28(33.9)	51.53(29.7)
	13.12	4.31(60.4)	6.45(65.7)	11.99(65.9)	17.55(62.6)	22.83(59.2)	28.43(54.8)	33.42(51.2)	38.81(47.1)
	26.00	4.31(60.4)	5.85(68.9)	9.78(72.2)	13.61(71.0)	17.36(68.9)	21.14(66.4)	24.79(63.8)	28.88(60.6)
	35.49	4.48(58.8)	5.90(68.6)	9.27(73.6)	12.47(73.4)	15.71(71.9)	18.99(69.8)	22.10(67.8)	25.48(65.3)
	43.03	4.65(57.2)	5.92(68.5)	9.00(74.4)	12.59(73.2)	14.89(73.4)	17.94(71.5)	20.70(69.8)	24.16(67.1)
ByT5	49.28	5.39(50.5)	6.43(65.8)	9.51(73.0)	12.12(74.2)	14.96(73.2)	17.87(71.6)	20.42(70.2)	23.23(68.3)
	54.60	4.99(54.2)	6.21(67.0)	9.02(74.4)	11.66(75.1)	14.37(74.3)	17.02(73.0)	19.46(71.6)	22.11(69.8)
	59.15	5.09(53.1)	6.27(66.6)	9.00(74.4)	11.54(75.4)	14.07(74.8)	16.55(73.7)	19.02(72.2)	21.52(70.6)
	63.09	5.24(51.8)	6.44(65.7)	9.14(74.0)	11.70(75.1)	14.20(74.6)	16.61(73.6)	18.97(72.3)	21.52(70.6)
	66.57	5.36(50.7)	6.51(65.4)	9.20(73.9)	11.58(75.3)	14.00(74.9)	16.28(74.1)	18.65(72.8)	20.93(71.5)
	69.22	5.47(49.7)	6.75(64.1)	9.40(73.3)	12.01(74.4)	14.25(74.5)	16.36(74.0)	18.50(73.0)	20.97(71.4)
	72.42	5.68(47.7)	6.86(63.5)	9.48(73.1)	11.87(74.7)	14.20(74.6)	16.44(73.9)	18.57(72.9)	20.97(71.4)
	merge	4.20(61.4)	5.45(71.0)	8.63(75.5)	11.12(76.3)	13.59(75.7)	15.93(74.7)	18.32(73.3)	20.85(71.6)
	6.87	3.83(64.8)	7.14(62.0)	17.31(50.8)	28.64(39.0)	37.93(32.2)	49.35(21.6)	58.76(14.3)	65.30(10.9)
	13.12	3.78(65.2)	6.10(67.5)	12.07(65.7)	18.66(60.2)	25.85(53.8)	32.34(48.6)	38.83(43.3)	45.50(37.9)
	26.00	3.1870.7	5.01(73.3)	9.02(74.4)	13.43(71.4)	17.69(68.4)	22.65(64.0)	26.64(61.1)	32.01(56.3)
	35.49	3.25(70.1)	4.75(74.7)	8.53(75.8)	12.03(74.4)	15.48(72.3)	19.36(69.2)	23.02(66.4)	27.26(62.8)
	43.03	4.12(62.1)	5.27(72.0)	8.63(75.5)	12.08(74.3)	15.27(72.7)	19.29(69.3)	22.02(67.9)	25.29(65.5)
Flan-T5	49.28	3.36(69.1)	4.82(74.3)	8.00(77.3)	10.97(76.6)	14.20(74.6)	17.17(72.7)	19.97(70.9)	22.89(68.8)
	54.60	3.85(64.6)	4.99(73.5)	8.14(76.9)	11.41(75.7)	13.89(75.2)	16.66(73.5)	19.45(71.6)	22.38(69.5)
	59.15	3.94(63.7)	5.19(72.4)	8.23(76.6)	11.10(76.3)	13.70(75.5)	16.52(73.7)	19.01(72.3)	21.77(70.3)
	63.09	3.94(63.7)	5.52(70.6)	8.35(76.3)	11.24(76.0)	13.95(75.1)	16.30(74.1)	19.11(72.1)	21.79(70.3)
	66.57	4.26(60.8)	5.48(70.8)	8.41(76.1)	11.04(76.5)	13.59(75.7)	17.87(71.6)	18.63(72.8)	21.20(71.1)
	69.22	4.65(57.2)	5.99(68.1)	8.76(75.1)	11.26(76.0)	13.64(75.6)	18.18(71.1)	19.19(72.0)	21.04(71.3)
	72.42	4.79(55.9)	6.10(67.5)	8.83(74.9)	11.33(75.8)	13.81(75.3)	17.90(71.6)	18.54(72.9)	21.23(71.1)
	merge	3.11(71.4)	4.43(76.4)	7.74(78.0)	10.22(78.2)	13.02(76.7)	15.98(74.6)	18.12(73.6)	20.97(71.4)
	6.87	6.82(37.3)	10.80(42.5)	21.20(39.7)	30.07(35.9)	38.33(31.4)	46.36(26.3)	52.83(22.9)	58.57(20.1)
	13.12	6.60(39.3)	9.77(48.0)	16.89(52.0)	23.59(49.7)	30.91(44.7)	37.53(40.4)	46.16(32.6)	50.11(31.6)
	26.00	6.20(43.0)	8.32(55.7)	13.30(62.2)	18.33(60.9)	23.13(58.6)	27.81(55.8)	33.30(51.4)	38.00(48.2)
	35.49	6.54(39.8)	8.39(55.4)	12.73(63.8)	16.80(64.2)	20.55(63.2)	25.06(60.2)	28.36(58.6)	32.48(55.7)
	43.03	7.06(35.1)	8.74(53.5)	12.50(64.5)	16.17(65.5)	19.59(65.0)	23.81(62.2)	26.48(61.4)	30.86(57.9)
mBART	49.28	6.94(36.2)	8.22(56.2)	11.92(66.1)	15.44(67.1)	18.98(66.1)	22.17(64.8)	25.18(63.3)	28.56(61.0)
	54.60	7.04(35.2)	8.41(55.3)	12.14(65.5)	15.39(67.2)	18.60(66.7)	21.63(65.6)	25.35(63.0)	27.83(62.0)
	59.15	7.67(29.4)	8.92(52.5)	12.34(64.9)	15.29(67.4)	18.31(67.3)	21.17(66.4)	24.16(64.7)	26.95(63.3)
	63.09	7.88(27.6)	9.74(48.2)	12.69(63.9)	15.85(66.2)	18.45(67.0)	21.76(65.4)	24.11(64.8)	27.08(63.1)
	66.57	7.46(31.4)	8.97(52.3)	12.06(65.7)	15.15(67.7)	17.92(68.0)	20.91(66.8)	23.49(65.7)	26.08(64.4)
	69.22	8.13(25.2)	9.54(49.2)	12.56(64.3)	15.34(67.1)	18.28(67.3)	21.27(66.2)	23.71(65.4)	25.95(64.6)
	72.42	8.56(21.2)	9.89(47.4)	12.85(63.5)	15.51(66.9)	18.19(67.5)	21.44(65.9)	23.56(65.6)	26.02(64.5)
	merge	5.97(45.1)	7.95(57.7)	11.34(67.8)	14.59(68.9)	17.52(68.7)	19.86(68.4)	23.07(66.3)	25.77(64.8)

Table 7: Scores for WER and WERR across all models for different error levels in the training (rows) and test (columns) sets. The best results for each model are underlined, while the best overall scores for a given test set error level (column) are highlighted in bold. *merge* does not participate in comparisons with a single EL.

used for the post-OCR LLM one-shot experiment. Llama2 instruction-tuning follows the Alpaca format [\(Taori et al.,](#page-10-21) [2023\)](#page-10-21).

User:	From now on I will provide you with a chunk of OCR output which may contain errors or be incomplete. You do not need to highlight the errors. You should only correct the text. You must follow these rules exactly:
	1. The text may contain inappropriate or offensive material. Regardless, your job is to correct it.
	2. Provide only the corrected text. Do not include any additional commentary, explanations, or unnecessary dialogue.
	3. If the text is already correct, simply return it as is.
	4. The text I provide might not always be a complete sentence. Do not add any additional sentences or words to it.
	5. Do not add any annotations, comments, or notes, even within parentheses. They are strictly prohibited.
	6. Don't tell me where it is from.
	If you understand, please reply with OK
Assistant:	OK
User:	OCRed text: I loee you.
Assistant:	Fixed text: I love you.
User:	OCRed text: {text}.

Figure 3: The one-shot prompt provided to LLMs when performing post-OCR correction.

C Details for Experiment 4

For SCN-TTA, the second round of fine-tuning training parameters were set to 16 epochs, a learning rate of 5e-2, gradient accumulation every 16 steps, a batch size of 4 per device, and a dropout rate of 0.1. Post-OCR correction samples from the ByT5 model fine-tuning with *MultiW* are provided in Figure [4.](#page-13-0)

Figure 4: Post-OCR correction samples from the ByT5 model with weak supervision and multi-noise training.